

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Akinobu Sato, et al.

Serial No.: 10/573,942

Examiner: Michael A. Band

Filing Date: March 29, 2006

Art Unit: 1723

Title: Method for Smoothing a Solid Surface

Conf. No. 5411

May 23, 2011
Fremont, California

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants disclose the following patent applications by the inventors of the present application:

Sato et al., U.S. patent application no. 12/642,633, which was a division of the present application, was abandoned after receiving an office action with a non-final rejection of claims.

Sato et al., U.S. patent application publication no. 2008/0315128, published December 25, 2008, filed on December 21, 2007, which is a national-stage application of international patent application no. PCT/JP2006/309932 filed May 18, 2006. This application is currently pending and an office action with a final rejection of claims has been received.

Suzuki et al., U.S. patent application publication no. 2010/0230616, published September 16, 2010, filed on April 28, 2009, which is a national-stage application of international patent application no. PCT/JP2007/071460 filed October 30, 2007. This application is currently pending. No office action has been received.

Sato et al., U.S. patent application publication no. 2010/0207041, published August 18, 2010, filed on April 30, 2009, which is a national-stage application of international patent application no. PCT/JP2007/071102 filed October 30, 2007. This application is currently pending and an office action with a non-final rejection of claims has been received.

Applicants also disclose Panin et al., "p- to n-type Conversion in GaSb by Ion Beam Milling," Appl. Phys. Letters, vol. 67, no. 24, Dec 1995, pp.3584-3586.

A fee is due as required under 37 CFR § 1.97(c) and as set forth in §1.17(p). Payment of this fee by credit card is being authorized concurrently with the submission of this communication.

The Applicants request the Examiner initial the cited references shown on the enclosed substitute form PTO-1449 and that the references be made of record in the present application.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'DL' or 'DLH' with a stylized flourish.

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Enc. Copy of disclosed reference